

Form PTO 1390 (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER PU60594
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/585899</b>
INTERNATIONAL APPLICATION NO. PCT/US2005/001134	INTERNATIONAL FILING DATE 13 January 2005	PRIORITY DATE CLAIMED 16 January 2004	
TITLE OF INVENTION ENCAPSULATION OF LIPID-BASED FORMULATIONS IN ENTERIC POLYMERS			
APPLICANT(S) FOR DO/EO/US Raviraj S. PILLAI			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  - a. ☐ was submitted as part of the international application under Rule 4.17(iv).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and Form PTO-1449.
12. ☒ A copy of the assignment document (2 pages); and a 1 page Statement Under 37 CRF 3.73(b).
13. ☒ A **FIRST** preliminary amendment.  
(Add claim to priority via Preliminary Amendment for US originating cases only)
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☒ Power of Attorney and Correspondence Address Indication Form (1 page).
17. ☒ An Abstract on a separate sheet of paper (1 page).
18. ☒ Copy of Form PCT/ISA/210 ( 3 pages).
19. ☐ Other items or information.

US APPLICATION NO. (if known see 37 CFR 1.50) <b>107555899</b>		INTERNATIONAL APPLICATION NO. PCT/US2005/001134		ATTORNEYS DOCKET NO. PU60594	
20. [X] The following fees are submitted:				CALCULATION	PTO USE ONLY
Basic National Fee (37 C.F.R. 1.492(a)(1)-(5)):					
[X] Basic Filing Fee.....\$300.00				\$300.00	
[X] Examination Fee *If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCTArticle33(1)-(4).....\$0.00 *All other situations .....\$200.00				\$200.00	
[X] Search Fee *Search Fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00 *International Search Report prepared and provided to the Office.....\$400.00 *All other situations.....\$500.00				\$100.00	
TOTAL OF ABOVE CALCULATIONS =				\$600.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
Claims	Number Filed	Number Extra	Rate		
Total claims	19 - 20 =	0	0 x \$50.00	\$0.00	
Independent claims	3 - 3 =	0	0 x \$200.00	\$0.00	
Multiple dependent claims (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
National Stage Application size fee-for each additional 50 sheets (including drawings) that exceed 100 sheets. The fee is \$250.00 for each additional 50 sheets or fraction thereof.				\$0.00	
SUBTOTAL =				\$600.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +					
TOTAL NATIONAL FEE =				\$600.00	
				Amount to be refunded	\$
				charged	\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 19-2570 in the amount of \$600.00 to cover the above fees.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570.
- d. ☒ General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extension of time relating to this application (37 CFR 1.136 (a)(3)).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-7513  
Facsimile (610) 270-5090

Andrea L. Winslow  
SIGNATURE  
Andrea L. Winslow  
NAME  
48,586  
REGISTRATION NO.

13/585899

AP20 Rec'd PCT/PTO 13 JUL 2006  
PATENT

ATTORNEY'S DOCKET NUMBER PU60594

TRANSMITTAL LETTER TO THE U.S. DESIGNATED OFFICE  
(DO/US) - ENTRY INTO NATIONAL STAGE UNDER 35 USC 371

---

INTERNATIONAL APP. NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US2005/001134	13 January 2005	16 January 2004

---

TITLE OF INVENTION  
ENCAPSULATION OF LIPID-BASED FORMULATIONS IN ENTERIC POLYMERS

---

APPLICANT(S) FOR DO/US  
Raviraj S. PILLAI

---

Commissioner for Patents  
Mail Stop: PCT  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: DO/US

---

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter, Form PTO 1390 and the papers indicated as being transmitted therewith, and Post Card are being deposited with the United States Postal Service on this date **July 13, 2006** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV756625845US** addressed to the:

Commissioner for Patents, Mail Stop: PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Cheryl Thompson.  
(Typed or printed name of person mailing paper)

  
(Signature of person mailing paper)

20462

CUSTOMER NUMBER